

United States Department of Health and Human Services

DEPARTMENTAL APPEALS BOARD

Civil Remedies Division

In the Case of:)	
)	
)	Date:
)	
Petitioner,)	
)	Docket No. C-____-
- v. -)	
)	
The Inspector General.)	
)	
_____)	

INFORMAL BRIEF OF PETITIONER

The Inspector General (I.G.) argues that you may be excluded from participating in Medicare, Medicaid, and other federally-funded health care programs for at least three years, because you were convicted of one of the offenses that is described in section 1128(b)(1) of the Social Security Act.

The issues in this case are whether:

1. The I.G. has the authority to exclude you; and
2. The length of the exclusion that the I.G. determined to impose is reasonable.

I. Were you convicted of a criminal offense?

Yes No

Do you agree that you were convicted of a criminal offense?

Yes No

If you **disagree**, explain why you disagree. State which exhibits support your argument and explain why they do.

II. Were you convicted of an offense for which exclusion is authorized?

The I.G. argues that your exclusion is authorized because you were convicted of one of the following offenses:

- A. A misdemeanor occurring after August 21, 1996, relating to fraud, theft, embezzlement, breach of fiduciary responsibility, or other financial misconduct –
 - i) in connection with the delivery of a health care item or service, or
 - ii) with respect to any act or omission in a health care program, other than Medicare or a State health care program (a State Medicaid program), operated by, or financed in whole or in part by, any federal, State, or local government agency; or

- B. A criminal offense relating to fraud, theft, embezzlement, breach of fiduciary responsibility, or other financial misconduct with respect to any act or omission in a program (other than a health care program) operated by, or financed in whole or in part by, any federal, State, or local government agency.

Do you disagree with the I.G.'s argument?

Yes No

If you **disagree**, explain why you disagree. State which exhibits support your argument and explain why they do.

III. Is the length of your exclusion unreasonable? The I.G. argues that the length of the exclusion imposed is reasonable.

Do you believe that a mitigating factor or factors exist(s) that support(s) reducing the length of your exclusion (before answering this question, read the list of potentially mitigating factors that is set forth at 42 C.F.R. § 1001.201(b)(3))?

Yes No

If you believe that a mitigating factor or factors exist(s), state what they are and explain why the presence of the factor or factors should support reducing the length of your exclusion. State which exhibits support your argument(s) and explain why they do.

IV. Do you believe that an in-person hearing is necessary to decide your case?

Yes No

Do you have any testimony that you wish to offer at an in-person hearing?

Yes No

If you have testimony that you wish to offer provide the following:

1. The name of each witness whose testimony you want to offer.

2. A description of each witness' proposed testimony and an explanation of why you believe that the testimony relates to any of the arguments you want to offer in connection with items I, II, and III.

3. An explanation of why the proposed testimony does not duplicate something that is already stated in an exhibit.

V. Do you have any other arguments you wish to make? If so, please state them here. State which exhibits support your argument(s) and explain why they do.

Petitioner or Petitioner's Representative

Date: