



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

May 20, 2014

The Honorable Fred Upton  
Chairman  
Committee on Energy and Commerce  
U.S. House of Representatives  
Washington, DC 20515

Dear Mr. Chairman:

I am pleased to provide you with the Annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy, Security, and Breach Notification Rule Compliance. This report was prepared by the Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

The report contains information about the Department's compliance and enforcement activities with respect to the HIPAA Privacy, Security, and Breach Notification Rules for calendar years 2011 and 2012. It also includes cumulative enforcement data since the 2003 compliance date of the Privacy Rule (the first compliance date of the Rules).

Specifically, the report includes information on the number of complaints received; compliance reviews opened; the resolution of these complaints and compliance reviews; subpoenas issued; and general background information about OCR enforcement. It also describes the Department's development and implementation of an audit program, plans for future improved enforcement of the Rules, and outreach efforts.

HHS continues to be committed to strong enforcement of the HIPAA Rules. I hope you will find this report informative.

Sincerely,

A handwritten signature in black ink that reads "Kathleen Sebelius". The signature is written in a cursive style.

Kathleen Sebelius

Enclosure



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

May 20, 2014

The Honorable Henry Waxman  
Ranking Member  
Committee on Energy and Commerce  
U.S. House of Representatives  
Washington, DC 20515

Dear Representative Waxman:

I am pleased to provide you with the Annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy, Security, and Breach Notification Rule Compliance. This report was prepared by the Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

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THE SECRETARY OF HEALTH AND HUMAN SERVICES  
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May 20, 2014

The Honorable Sander Levin  
Ranking Member  
Committee on Ways and Means  
U.S. House of Representatives  
Washington, DC 20515

Dear Representative Levin:

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WASHINGTON, D.C. 20201

May 20, 2014

The Honorable Dave Camp  
Chairman  
Committee on Ways and Means  
U.S. House of Representatives  
Washington, DC 20515

Dear Mr. Chairman:

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May 20, 2014

The Honorable Tom Harkin  
Chairman  
Committee on Health, Education, Labor,  
and Pensions  
United States Senate  
Washington, DC 20510

Dear Mr. Chairman:

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WASHINGTON, D.C. 20201

May 20, 2014

The Honorable Lamar Alexander  
Ranking Member  
Committee on Health, Education, Labor,  
and Pensions  
United States Senate  
Washington, DC 20510

Dear Senator Alexander:

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