



**Department  
of Health**

**Wadsworth  
Center**



**NewYork-Presbyterian**

# **Implications of Detecting Carriers Through Newborn Screening: Lessons Learned from Spinal Muscular Atrophy Newborn Screening in New York State**

**Presented to the Advisory Committee on Heritable  
Disorders in Newborns and Children  
November 8, 2017**

**Michele Caggana, Sc.D., FACMG**

**Director, Newborn Screening Program  
Wadsworth Center, NYS Department of Health**

# Disclosures

**Biogen, Idec funded this study (screening, recruitment).**

**Biogen, Idec had no role in data analysis, interpretation, or decisions regarding patient counseling or care.**

JN Kraszewski, DM Kay, CF Stevens, C Koval, B Haser, V Ortiz, A Albertorio, L Cohen, R Jain, SP Andrew, SD Young, DC De Vivo, M Caggana, WK Chung. *Genetics in Medicine*, doi:10.1038/gim.2017.152.

Slides used with permission from Dr. Denise Kay, NYS DOH

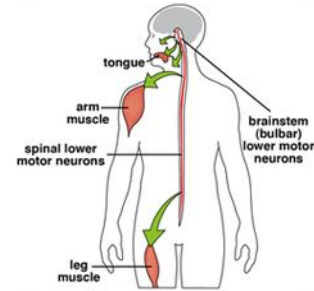
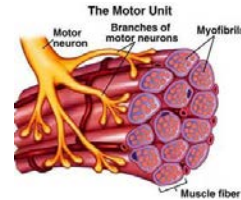


**Department  
of Health**

**Wadsworth  
Center**

# Spinal Muscular Atrophy (SMA)

- Progressive degeneration & loss of spinal cord & brainstem motor neurons
- Muscle weakness, atrophy
- Difficulty breathing, poor weight gain, pneumonia, scoliosis, joint contractures



Age at onset, symptoms, severity and survival vary – type 1 (most severe), 2, 3, 4

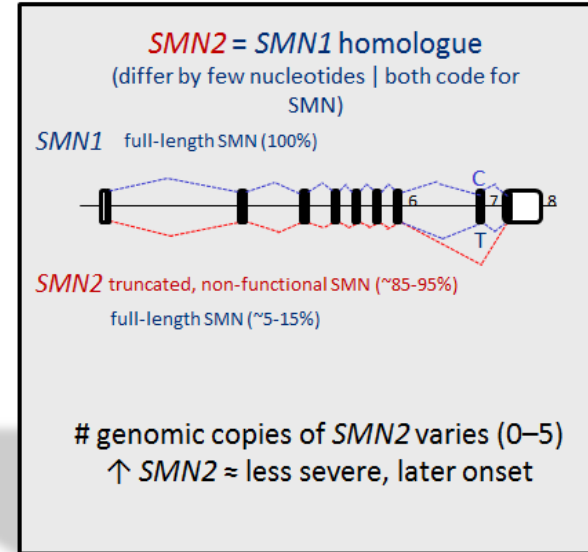


# SMA (Chr. 5) Incidence and Genetics

Most common genetic cause of infant & toddler death

- Incidence: 1 in 6,000 to 1 in 11,000
- Carriers: 1 in 50 to 1 in 60

95%–98% homozygous deletion of Survival of Motor Neuron 1 (*SMN1*) exon 7



# Treatment

≤ 2016 Supportive – respiratory, nutritional, gastrointestinal, orthopedic

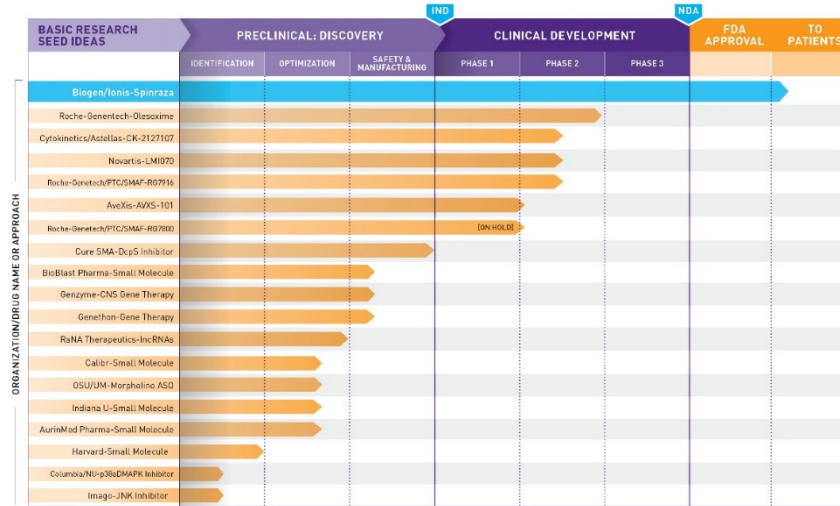
Unsuccessful preclinical, clinical trials

2016 First FDA-approved treatment  
Spinraza™ (nusinersen)  
ASO to increase SMN from SMN2

201x Others in development, clinical trials

## SMA DRUG PIPELINE

This year, we are funding research with more breadth, depth, and diversity than ever before. This chart shows the drugs and therapies that are currently in the pipeline for SMA.



IND = Investigational New Drug  
Last updated: January 2017

NDA = New Drug Application

**cure**  
**SMA**



Department of Health

Wadsworth Center

# SMA Newborn Screening

Should carrier status of newborns be reported to families?

- It is not recommended to subject minors to carrier testing
- Newborn screening -- incidental finding



photo: March of Dimes



Department  
of Health

Wadsworth  
Center

# Pilot SMA Newborn Screening

**Columbia University Medical Center, NY Presbyterian Hospitals, NYS Newborn Screening Program**

## Major Goals:

- **Develop *SMN1* assay**
- **Demonstrate feasibility of high-throughput newborn SMA screening**
- **Offer screening, assess uptake and outcomes**



**NY Presbyterian,  
Morgan Stanley  
Children's  
Hospital**  
Manhattan  
4,400 births/yr



**Weill-Cornell  
Medical Center**  
Manhattan  
5,800 births/yr



**Allen Hospital**  
Upper Manhattan/  
Bronx  
2,000 births/yr



**Department  
of Health**

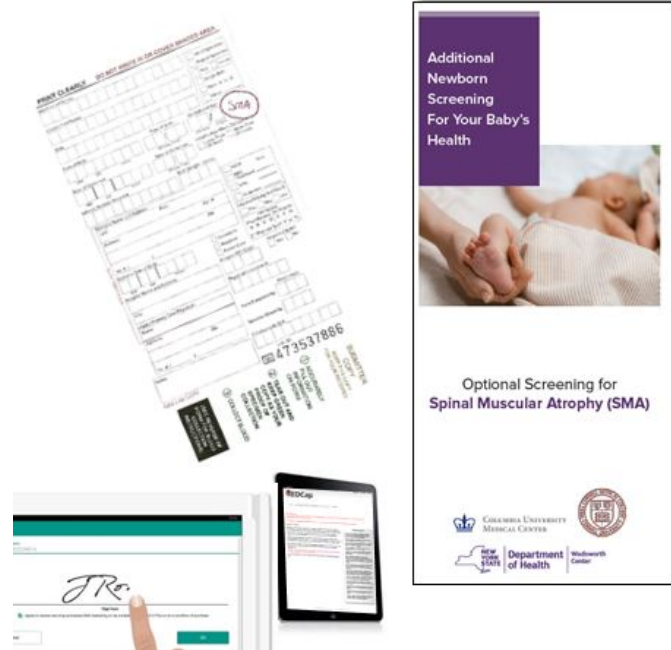
**Wadsworth  
Center**

# Recruitment – Opt-in Model

**Sites:** 3 NYC hospitals, 12,000 births/year

**Materials:** video & brochure

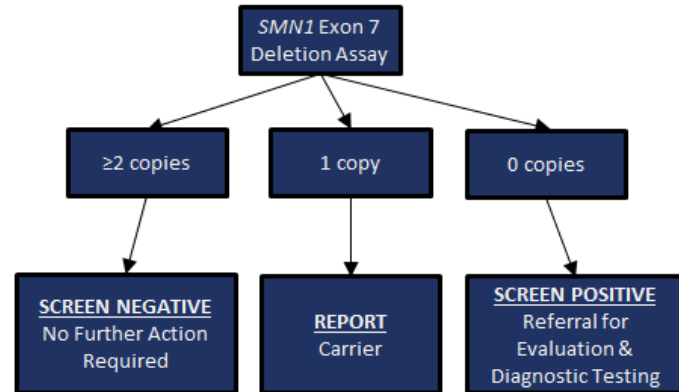
**Coordinators:** describe study, answer questions, informed consent on tablet (REDCap), mark Guthrie card





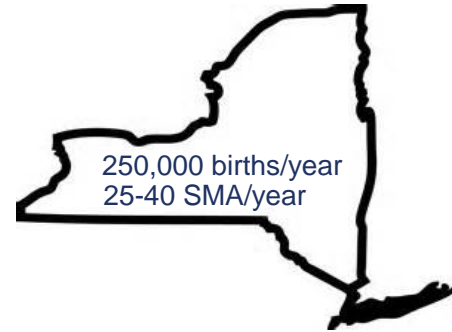
# Screening – *SMN1* exon 7 deletion assay

- First genomic DNA test
- DNA extracted from dried blood spot
- TaqMan real-time qPCR assay
  - *SMN1* exon 7
  - *RPPH1* (internal control gene)
- ABI 7900HT / QuantStudio 12K Flex
- $\Delta\Delta C_t$  to calculate *SMN1* copy number



# Results

January 15, 2016 – October 6, 2017  
 8,167 infants screened  
 93% opt in rate



Hospital	# Screened	Carriers (freq)	SMA
NY Presbyterian, Morgan Stanley Children's Hospital	3,654	50 (1 in 73)	-
Weill-Cornell Medical Center	2,956	53 (1 in 56)	-
Allen Hospital	1,557	11 (1 in 142)	1
<b>Overall</b>	<b>8,167</b>	<b>114 (1 in 72)</b>	<b>1</b>



# Results

January 15, 2016 – October 6, 2017

Infants screened: 8,167

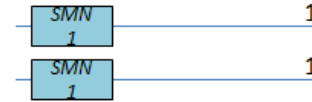
Opt in rate: 93%

Hospital	# Screened	Carriers (freq)
NY Presbyterian, Morgan Stanley Children's Hospital	3,654	50 (1 in 73)
Weill-Cornell Medical Center	2,956	53 (1 in 56)
Allen Hospital	1,557	11 (1 in 142)
<b>Overall</b>	<b>8,167</b>	<b>114 (1 in 72)</b>

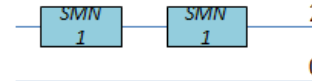
Low carrier freq in NYS

- race/ethnic bias
- 2+0 genotype
- Hispanic; Ashkenazi

**Normal:** 2 copies



**2+0 genotype:** 2 copies



# Follow-up – Carriers

- 14.1% (16/113) agreed to genetics referral**
  - 73.3% (11/15) made appointment
  - 72.7% (8/11) maintained appointment



- **Most parents expressed concern**
- **After speaking with counselor, expressed understanding of "carrier" status versus "affected"**
  
- **46.9% (53/113) knew they were carriers**
  - Less concerned, better understanding

# Results – Affected Infant

## Genotype:

*SMN1*: homozygous  $\Delta$  exon 7  
*SMN2*: 2 copies

predicts  
SMA type 1

## SMA Type 1 Natural History– What is Expected

- Onset: <6 months
- Survival:  $\leq 2$  years
- Major motor milestones reached: None; never sit unassisted.
- Symptoms: Profound hypotonia and flaccidity, no head control, poor suck & swallow; Respiratory and nutritional problems

@ 21 months – tolerates medication, meeting milestones on time, walking, running, talking



Department  
of Health

Wadsworth  
Center

# Conclusions from Pilot Study

- SMA newborn screening is feasible
  - \$0.20/baby if multiplexed\*
- 93% of families opted in
- Carrier rate = 1 in 72
- 1 infant predicted to have type 1 infantile SMA identified (1 in 8,167 currently)
  - treated with nusinersen (Spinraza)
  - asymptomatic at 21 months



\*\$0.20 is lab cost only; with SCID multiple;



Department  
of Health

Wadsworth  
Center

# The Question of Carriers

## Current Management of Carrier Results

### Hemoglobinopathies

- Carriers by report
- No follow-up
- No further action required
- SCC not notified
- Letter/brochure to parents

### Cystic Fibrosis\*

- Carriers by report
- Follow-up req'd
- Screen positive
- Prompt action
- SCC notified
- Sweat test req'd

\*When we begin FGA; will be handled like hemoglobin

### Adrenoleukodystrophy

- Carriers by report
- Follow-up req'd
- Screen positive
- Prompt action
- SCC notified
- VLCFA req'd
- Plasmalogen levels may be req'd



Department  
of Health

Wadsworth  
Center

## Hemoglobinopathy Volumes by Births

	Births	AS	AC	A Other	SS	SC	CC	Other disease
2015	237,502	5,048	1,521	705	124	74	26	51
2016	234,107	5,070	1,547	753	102	59	24	47

NYS hemoglobin carrier frequency: ~1 in 32





## Cystic Fibrosis Volumes by Births

	Births	Referrals	CF Confirmed*	Other	NY panel carriers
2015	237,502	840	29	22	600
2016	234,107	816	28	31	558

\* Includes VHIRT

\*\*\* Includes CRMS, possible CF, 2 mut/negative sweat

+ Includes all carriers

NYS CF carrier frequency: ~1 in 407  
*# detected by NBS; we miss carriers;  
 expect 1/35 based on incidence*



Department  
of Health

Wadsworth  
Center

# Adrenoleukodystrophy Data

**December 30, 2013 – October 5, 2017**

**891,185 babies screened**

**456,034 males**

**434,911 females**

**240 gender unknown/ambiguous**



# Adrenoleukodystrophy Data

69 total referrals (12/30/2013 – 10/5/2017)

## 54 are related to Adrenoleukodystrophy

- 28 boys with ALD (\*includes possible)
- 25 carrier girls
- 1 carrier boy\*

## 12 referrals without ABCD1 mutation:

- 7 Zellweger syndrome
- 1 Aicardi-Goutieres syndrome
- 2 likely PBD; 1 expired
- 1 neonatal lupus; elev. VLCFA
- 1 D-bifunctional protein deficiency

## 3 still pending



# ALD by the Numbers

- Referral rate: 1 in 12,916 or 0.0077% of infants screened
- Incidence of ALD: 1 in 31,828 all births (n=28)
- Incidence of ALD: 1 in 16,287 males (n=28)
- Incidence of ALD\*: 1 in 16,815 all births (n=53)
- Incidence of PBDs: 1 in 99,020 births (n=9)

\* Assumption that all with mutations will become symptomatic (includes female carriers; excludes KS male).



Department  
of Health

Wadsworth  
Center

# Issues Related to Carrier Detection 1

Specialists generally feel this will introduce additional burden

- Calls from providers and families; dearth of counselors
  - Family planning; interest in carrier screening of infant's siblings
  - NBS mission creep
  - Many providers interpret carrier or positive as 'affected—
  - “Do I need to do anything”
- Professional community has not reached consensus on reporting carrier status in the context of newborn screening
  - Hispanic carrier frequency is ~1/100; 2+0 carriers; not detected, health disparity?
  - Ashkenazi Jewish 2+0 detectable with haplotype analysis (Luo et al, 2014)
  - A proportion of families refused due to increased SMA prenatal screening
  - 47% of carriers already knew carrier status when called



## Issues Related to Carrier Detection 2

- With ACOG recommendation for carrier prenatal screening, uptake is high but variable depending on the hospital; that population doesn't come for NBS follow-up
- Based on the follow-up survey data from the pilot 4-5% of those asked don't recall carrier status of the newborn
- Prenatal carrier screening “feels different”; affects parent, not their baby
- Additional parent concern despite reassurance, “What should I look for”?
- Few parents request follow-up sequence analysis after a carrier newborn
- Phone counseling caveats (cannot read body language, distractions etc.).
- Each consult is about 15 minutes by phone
- Parents making appointments after a carrier newborn are offered carrier screening



# Future Directions

## SMA newborn screening

- Other states
- ACHDNC SMA evidence review & recommendation for/against addition to RUSP (Feb, 2018)

## Population-wide screening in NYS

- State public health law / regulation
- Care center network, neuromuscular specialists
- Multiplex qPCR assay (\$0.20/baby)
- Carrier reporting?

## Other considerations

- Detection of late onset SMA
- False negatives (point mutations)
- Current treatment (\$\$\$, when to initiate)
- Additional treatments

## SMA Could Soon Be on Newborn Screening List in the U.S.

MAY 18, 2017

BY V




### Massachusetts to offer new pilot screening study

In Fall 2017, the Massachusetts Department of Public Health will offer an optional screening for Spinal Muscular Atrophy (SMA) through the New England Newborn Screening Program.

Deputy Director *Anne Marie Comeau* talks about the SMA screening in a segment on WBUR.

Missouri becomes first state to institute newborn screening for Spinal Muscular Atrophy

BY *CHRIST JONES* FOR *HEALTH*  
Legislation will help promote life-saving treatment of the leading genetic cause of death for infants under two years of age.

JEFFERSON CITY, Mo. — Governor Eric Greitens today signed into law Senate Bill 120, instituting newborn screening for spinal muscular atrophy (SMA). The bill will make Missouri the first state in the country to screen all newborns for SMA, the leading genetic cause of death for infants under two years of age. The bill also

Moms push for newborn genetic screening so families avoid 'paralyzing grief'

By *AMANDA GARDNER* for *WISCONSIN JOURNAL SENTINEL*

When Steve Guider's daughter, Makai, was 18 months old, Guider suspected something was wrong. Her baby wasn't crawling. He'd suggest holding her up and she'd cry and frown. Then she just stopped the age. When Guider asked the pediatrician several times, he said 'Lol! Makai was 18 months old that's a doctor made a diagnosis.'

'It was Spinal Muscular Atrophy type 1' Guider, 38, of Kenosha City, said. 'I'd be in a counseling and parenting group' He was not the last parent and family to be



Advisory Committee Recommends SMA be Added to Newborn Screenings Panel



October 12, 2017 10:30 AM

With a treatment now available, the earlier the genetic disorder Spinal Muscular Atrophy is identified, the more likely a child will be able to live a full, healthy life, according to health officials.



Department of Health

Wadsworth Center

# Acknowledgements

## Participants & families

### Clinical

- Wendy Chung, MD, PhD
- Carrie Koval, MS, CGC
- Lilian Cohen, MD
- Sarah Andrew, BA
- Sally Dunaway Young, PT, DPT
- Nicole LaMarca, DNP, MSN, CPNP
- Darryl De Vivo, MD
- Columbia University Medical Center

### Laboratory

- Denise Kay, PhD
- Colleen Stevens, PhD
- Ritu Jain, PhD
- Sandra Levin, BS
- Patrick Wilson, BS
- NYS Newborn Screening Program

### Recruitment

- Jennifer Kraszewski, MS
- Bianca Haser, BS
- Veronica Ortiz, MHS
- Anthony Albertorio, BA
- Jacqueline Gomez, RN
- Angela Pena
- Columbia Presbyterian Hospitals

### Funding

- Biogen, Idec

### Controls

- Pediatric Neuromuscular Research Clinic (PNRC)
- Biogen, Idec



Department  
of Health

Wadsworth  
Center