

# Sequencing Newborns

## A Call for Nuanced Use of Genomic Technologies



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*Presented to the Advisory Committee on Heritable Disorders in Newborns and Children  
November 2, 2018*

# Conflicts of Interest

- Barbara Koenig accepted travel funds from Illumina to attend a policy discussion about sequencing
- Josephine Johnston has no financial conflicts of interest

# Introduction: About the Project



**Barbara A. Koenig, PhD**

University of California, San Francisco



## □ UCSF ELSI Aim 4:

- To create, in collaboration with other NSIGHT investigators and the Hastings Center, a national policy board that will develop (and disseminate) recommendations about the appropriate use of whole genome analysis in newborns.
- An example of “embedded ethics”



# NSIGHT Ethics and Policy Advisory Board Membership

## Barbara A. Koenig

Cinnamon S. Bloss     John D. Lantos  
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Ingrid A. Holm     Monica E. Smith  
Josephine Johnston     Stefan Timmermans  
Galen Joseph     Rachel L. Zacharias  
Eric Juengst  
Jaime S. King

*Plus, invited guests*



# Project Timeline

## **NSIGHT EAPB meetings**

- November 2015 at Hastings Center
- June 2016 at Hastings Center
- February 2017 at UCSF

## **Workshopping of Draft Analysis and Recommendations**

- June 2017 at ELSI Congress



## **Publication of Analysis and Recommendations plus 12 essays**

- July/August 2018 special report of *Hastings Center Report*

# Guiding Questions

- Which contextual forces shape our discussion of the utility of sequencing in newborns?
- Under what circumstances should newborns be sequenced?
- How should state-mandated newborn screening programs use sequencing?
- What role should parents play in determining how sequencing information about their infant is used and stored?
- Should sequencing be part of routine pediatric practice?



# Project's Findings

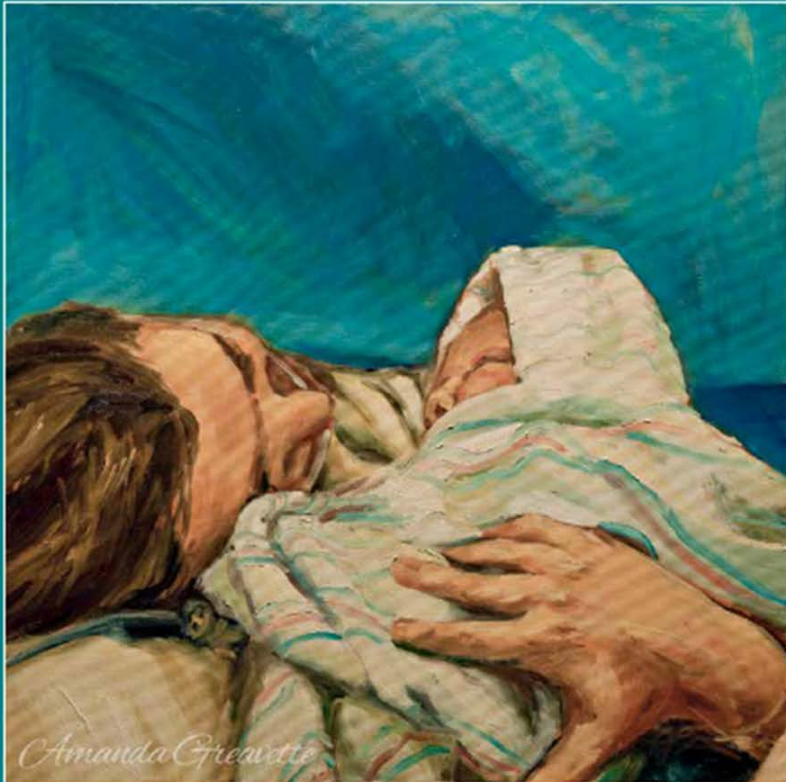


**Josephine Johnston**  
The Hastings Center



# The Ethics of Sequencing Newborns

Reflections and Recommendations



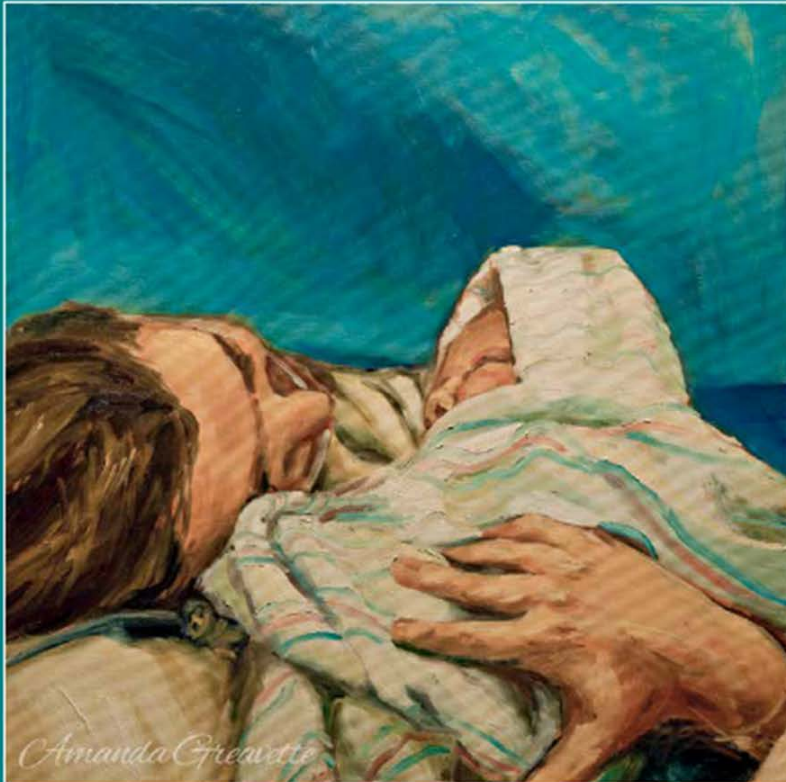
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JOSEPHINE JOHNSTON, ERIK PARENS, AND BARBARA A. KOENIG

**Lead article by:**  
Josephine Johnston  
John D. Lantos  
Aaron Goldenberg  
Flavia Chen  
Erik Parens  
Barbara A. Koenig &  
members of the  
NSIGHT Ethics and  
Policy Advisory Board

***Plus:*** 12 essays by  
members of the  
NSIGHT Ethics and  
Policy Advisory Board

# The Ethics of Sequencing Newborns

Reflections and Recommendations



EDITED BY  
JOSEPHINE JOHNSTON, ERIK PARENS, AND BARBARA A. KOENIG

Lead article

## Sequencing Newborns A Call for Nuanced Use of Genomic Technologies

# Analysis

## 2 Reasons

- Diagnosis
- Screening

## 2 Types of Sequencing

- Targeted
- Whole-exome or whole-genome

## 3 Contexts

- Clinical Contexts
  - Sick newborns, e.g. in NICU
  - Routine primary care
- Public Health
  - In the US, state newborn screening programs
- Direct-to-Consumer
  - E.g. BabyGenes, 23andMe

# Recommendation

## Clinical Contexts

- ❑ Use targeted or whole-genome sequencing for diagnosis
  - With parental permission, genetic counseling, follow-up care
  - Return results that may benefit infant and/or family members
- ❑ Do not use as a screening tool
  - Limited usefulness in asymptomatic infants
  - Concerns over storage of results
  - Concerns over discrimination or insurance uses
  - Potential for results to generate unnecessary distress
  - Potential for results to require counseling and generate unneeded follow-up care and monitoring



# Recommendation

## Public Health Context

- ❑ Do not use targeted or whole-genome sequencing as sole screen
  - Cannot detect everything
  - Concerns over storage of results
  - Concerns over discrimination or insurance uses
  - Potential for results to generate unnecessary distress
  - Potential for results to require counseling and generate unneeded follow-up care and monitoring
- ❑ OK to use targeted sequencing
  - As a secondary test following a positive screen
  - As a primary screen to detect conditions that meet all screening criteria

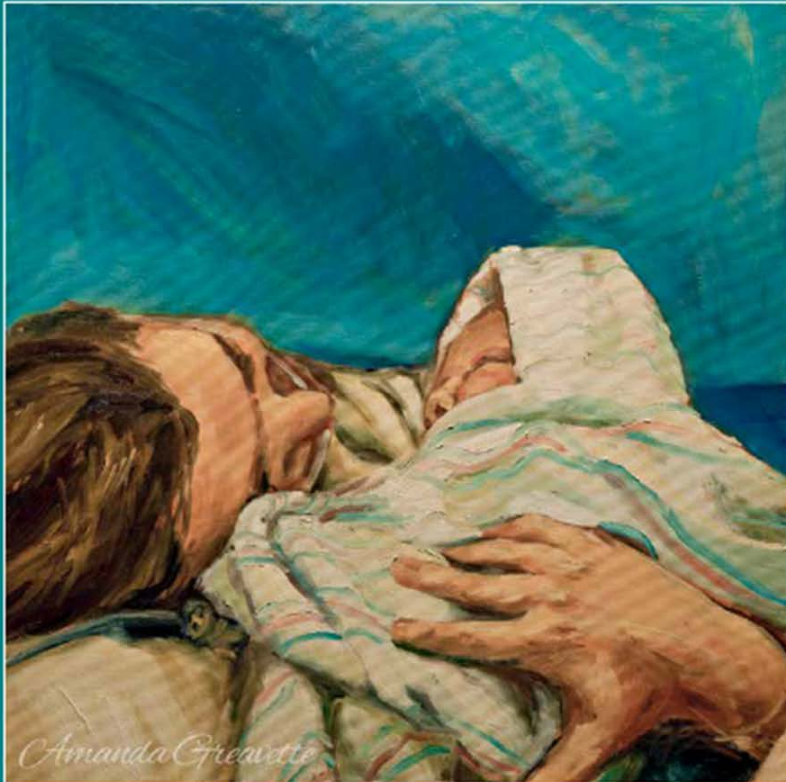
# Recommendation

## **Direct-to-Consumer**

- Parents should not use DTC sequencing for diagnosis or screening
- Health care professionals should recommend against DTC use of sequencing in infants and children

# The Ethics of Sequencing Newborns

Reflections and Recommendations



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