1	28TH MEETING OF THE SECRETARY'S ADVISORY COMMITTEE
2	ON HERITABLE DISORDERS IN NEWBORNS AND CHILDREN
3	
4	
5	
6	
7	
8	Thursday, September 13, 2012
9	AFTERNOON SESSION
10	1:30 p.m 2:15 p.m.
11	
12	
13	
14	
15	
16	
17	
18	Humphrey Building
19	HHS Headquarters, Room 800
20	200 Independence Avenue, S.W.
21	Washington, D.C.
22	

1	
2	APPEARANCES
3	COMMITTEE MEMBERS:
4	JOSEPH A. BOCCHINI, JR., M.D.
5	JEFFREY BOTKIN, M.D., M.P.H.
6	CHARLES HOMER, M.D., M.P.H.
7	FRED LOREY, PH.D.
8	DIETRICH MATERN, PH.D.
9	STEPHEN MCDONOUGH, M.D.
10	ALEXIS THOMPSON, M.D.
11	CATHERINE A.L. WICKLUND, M.S., C.G.C.
12	EX-OFFICIO MEMBERS:
13	COLEEN BOYLE, PH.D., M.S.
14	CHRIS DEGRAW, M.D., M.P.H.
15	ALAN E. GUTTMACHER, M.D.
16	KELLIE B. KELM, PH.D.
17	MELISSA PARISI, M.D., PH.D.
18	KISHENA WADHWANI, Ph.D., M.P.H.
19	DESIGNATED FEDERAL OFFICIAL:
20	SARA COPELAND, M.D.
21	
22	

1 2 APPEARANCES (Continued) 3 ORGANIZATION REPRESENTATIVES: 4 NATASHA F. BONHOMME 5 FREDERICK M. CHEN, M.D., M.P.H., F.A.A.F.P. JANE P. GETCHELL, DR.P.H., M.T. (ASCP) 6 7 CAROL GREENE, M.D. 8 CHRISTOPHER KUS, M.D., M.P.H. 9 BENNETT LAVENSTEIN, M.D. 10 NANCY ROSE, M.D. 11 JOE LEIGH SIMPSON, M.D. 12 BETH TARINI, M.D., M.S., F.A.A.P. MICHAEL S. WATSON, PH.D., F.A.C.M.G. 13 14 MARY J.H. WILLIS, M.D., PH.D. 15 16 17 18 19 20 21 22

1		
2	CONTENTS	
3	AGENDA ITEM	PAGE
4	Ethical Issues - ELSI and the Advisory	
5	Committee	
6	Jean McEwen, J.D., Ph.D.	192
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		

CHAIRMAN BOCCHINI: All right. Let's go ahead and call the meeting to order. (Pause.) CHAIRMAN BOCCHINI: All right. Thank you. We're going to start the afternoon session with a discussion from -- on ethical issues, ELSI and the Advisory Committee. Jean McEwen. Am I close? Close enough? DR. MCEWEN: McEwen. CHAIRMAN BOCCHINI: Who is Program

> Alderson Reporting Company 1-800-FOR-DEPO

Director of Ethical, Legal, and Social Implications
 Program at the NHGRI/NIH, will make this
 presentation.

4 Dr. McEwen came to the National Human 5 Genome Research Institute in 1999 following a career in law practice teaching and research. In her 6 7 capacity as program director, she currently manages 8 a portfolio of research grants focused on the 9 ethical, legal, and social implications -- that's 10 the ELSI -- of genomics research and related policy 11 issues, as well as the cooperative agreement for the 12 conduct of large public consultation study to obtain 13 public input to inform the design of a possible 14 large longitudinal cohort study of the role of genes 15 and environment in health and disease.

16 She also currently manages a consortium of 17 investigators conducting research on normative legal 18 and psychosocial issues surrounding the return of 19 genomic research results.

20 So we really appreciate you taking the 21 time to come here and make this presentation. This 22 is very important to the committee. So thank you.

DR. MCEWEN: Okay. Thank you. And thank you very much for inviting me. I found this morning's session very interesting. It's my first time attending one of these meetings.

5 So I was asked to talk today about -- a little bit about our program, not so much really 6 7 about the substantive ethical issues that I see on 8 the horizon in the field of genomics, but actually 9 about the way that our program at the National Human 10 Genome Research Institute operates and the kinds of 11 opportunities that we have for grant funding and so 12 forth.

My talk is going to focus very generally on our program and not specifically on newborn screening. My understanding is that we will have a more specific focus on newborn screening -- on ethical issues and newborn screening at the January meeting.

So this is going to be very generic, and I should say perhaps even more generic than I had intended because I just realized just before lunch that the slide set that I sent was actually not the

1 one that incorporated my revisions that were geared 2 specifically for this group. So I'll try to, as I 3 go along, bring in the particular context that's 4 specific to this group. But I do apologize for the 5 overly generic nature of some of this.

6 So I think what we'll do is we'll start. 7 I'll give you a brief background about our program, 8 talk a little bit about the latest strategic plan 9 for genomics and specifically for approaches to 10 studying issues about what we broadly now are 11 calling genomics and society within our institute.

12 Talk a little bit about current high13 priority topic areas that we're supporting research
14 on in our program, a little bit about the
15 methodological approaches and kinds of funding
16 mechanisms that we use. I'll say a few words about
17 our program in Centers of Excellence in ELSI
18 Research, or our CEER program.

19 And then say a little bit about some of 20 the specific and kind of unique sorts of challenges 21 and tensions that we sometimes confront in doing 22 this kind of research, which is really in some ways

quite different from other kinds of research that's
 typically supported at the NIH.

3 So by way of background, our program was 4 established in 1990 at the same time that the Human Genome Project was first launched. And its mission 5 was -- always has been to anticipate and attempt to 6 7 address the ethical, legal, and social implications 8 of genetic and genomic research. So that's the term 9 ELSI that's become kind of a commonly applied acronym for this area of research, and our program 10 11 is commonly known as the ELSI research program.

12 So since the beginning of the Genome 13 Project, the NHGRI has devoted every year 5 percent 14 of its extramural research budget to support 15 research on these kinds of topics, and I'll talk in 16 a little bit about the specific kinds of studies 17 that we've funded over the years. Our budget this 18 fiscal year is around \$19 million. And over the 21 19 years that we've been in existence, we've supported 20 a large number of studies, now numbering over 1,000 21 publications resulting from that work.

22 So it's really a fairly large body of

scholarship that's been amassed over the years. And
 I think it's fair to say we're still considered the
 largest funder of bioethics research in the world.

4 Just to sort of situate our program within 5 the larger field of genomics and within NHGRI specifically, a lot of you probably have seen this, 6 7 and if you haven't, I would actually commend it to 8 This was sort of a we call it our strategic vou. 9 plan for the future of genomics research. It was 10 published about a year and a half ago in Nature 11 following input from hundreds of -- well, yes, 12 hundreds of people, I would say, including both 13 genomics researchers and also a lot of people from 14 the social sciences and bioethics, who are sort of, 15 again, looking ahead to the kinds of ethical, legal, 16 and social issues that this field is going to be 17 confronting increasingly over the next several 18 years.

19 And so, just to dig into this plan a
20 little bit, this is sort of a schematic that sort of
21 shows where we see the field of genomics as it has
22 been evolving and as we anticipate that it's going

1 to be evolving over the coming years. There's a lot 2 of information in this slide. But just to kind of 3 briefly explain to you the main features, what you 4 see here at the top are sort of five almost you can 5 think of sort going from the left to the right as 6 sort of a movement in orientation in the field.

7 Starting with at the very beginning of the 8 Human Genome Project, when we were really focused on 9 sort of understanding the structure of the genome or 10 actually more accurately genomes because we were 11 also obviously interested in studying a lot of model 12 organisms. Then gradually, we began to look more 13 and more at sort of understanding the biology of 14 genomes. The biology of genomes, not just the 15 structure of genomes.

And so, for example, at the Genome Institute, we've run a number of projects. For example, the HapMap Project and the 1,000 Genomes Project, which are looking at patterns of genetic variation in a number of populations around the world to develop these large genomic resources that can really help us to start to understand the basic

biology not necessarily focused on disease, but just 1 2 really what the genome is made of and what some of 3 the functions of these parts of the genome might be. 4 Gradually, we are moving into -- more and 5 more into understanding the biology of disease, and 6 this is -- certainly for the NHGRI, this is a much 7 newer area. But it's obviously an area in which 8 other parts of NIH have been focused for some time. 9 But what we're hoping to do is to help to actually 10 help other institutes to understand how to 11 incorporate specifically genomic approaches into 12 understanding the biology of disease as they do 13 their studies. 14 And gradually, and this is only now at the 15 very beginning stages, are we moving way to the 16 right in sort of advancing the science of medicine, 17 and ultimately, the ultimate goal is improving the 18 effectiveness of healthcare. But you'll notice that 19 we don't envision that as being a goal that's 20 anywhere near completion and probably won't even 21 really get well underway until well beyond the year

> Alderson Reporting Company 1-800-FOR-DEPO

22

2020.

1 So we're trying to be not overly promising 2 in how quickly we think these technologies will 3 move. But at the same time, recognizing that there 4 actually has been a fair amount of progress and 5 that, ultimately, our goal is to get over to that 6 sort of right-hand side of the diagram.

7 One of the things you'll notice when you 8 sort of think about this is over on the left, when we were initially with the Human Genome Project just 9 10 sequencing that one human genome and looking at a 11 variety of model organisms, we didn't have to worry 12 a whole lot about issues about protecting 13 participants in genomic research because we didn't 14 really have any. We were just sort of doing the 15 first genome.

But increasingly -- we obviously didn't have to worry about ethical issues with mice or rats too much. I mean a little bit. But we didn't have to worry about things like privacy or informed consent because they were mice and rats.

21 But increasingly, as we move further and 22 further to the right side of this diagram, you can

> Alderson Reporting Company 1-800-FOR-DEPO

see how interactions with patients and research participants and, in fact, with the general public and helping them to understand the meaning of this sort of avalanche of information that we're creating becomes increasingly important. And that's where the ethical issues are becoming much more complex over time.

8 And so, in recognition of this, I think 9 there is sort of a recognition that sort of this 10 broad rubric of genomics and society or ethical 11 issues really cuts across all of these areas, and 12 it's going to become increasingly important in the 13 future.

14 And so, within this sort of broad rubric 15 of genomics and society, there are sort of four sets 16 of issues that you can think about as being ones 17 that we're going to have to grapple with --18 psychosocial and ethical issues in the research, the 19 same kinds of issues in genomic medicine, and then 20 sort of broader legal and public policy issues, and 21 then even broader societal issues that go even 22 beyond that.

> Alderson Reporting Company 1-800-FOR-DEPO

1 And so, what I want to do is talk to you a 2 little bit about the kinds of specific research 3 topics that we've tended to support in each of these 4 areas and the kinds of things that we're interested 5 in looking at down the road.

6 So, in the first category, psychosocial 7 and ethical issues in genomics research, here I've 8 just sort of arbitrarily listed a couple of research 9 topics among the many, many that I might have chosen. And the first one is so broad that it's 10 11 almost meaningless without sort of digging into it a 12 bit more, and that's protection of research 13 participants.

14 So these are old issues relating to things 15 like privacy and informed consent. And 16 increasingly, issues about whether, when and how, 17 and how much to return to people in terms of the 18 results that we generate in the research, 19 particularly the incidental findings. 20 Which once you start sequencing whole 21 genomes, inevitably you're going to create a lot of

> Alderson Reporting Company 1-800-FOR-DEPO

those. What do you do with that? What do you do

22

1 with that kind of information, and what are the

2 ethical issues involved?

Perceptions of risks and benefits in this kind of research. This is an area that I think is becoming more and more complicated as sometimes the line between research and clinical care becomes increasingly murky as we start to move sequencing or whole genome technologies into these clinical

9 settings and sometimes research settings.

10 And then other issues like how do we 11 ensure the diversity of our research cohorts to make 12 sure that all segments of society have an equal 13 opportunity to participate in the research? The 14 role of race and ethnicity, which has always had 15 kind of an uncomfortable relationship between --16 with the field of genetics. How do we develop our 17 constructs of race and ethnicity, and how do we 18 incorporate those when we conduct research looking 19 at allele frequency differences among groups? And 20 what are the ethical issues that that are raised? 21 And certainly, community engagement and 22 community consultation has been a big issue in

1 recent years as we start to do this kind of 2 research. Sometimes this kind of research raises 3 issues that pertain not just to individuals, but to 4 broader communities. And so, what are the issues 5 involved with doing that?

6 Just very quickly, to talk about some of 7 the issues, specific topics in the genomic medicine 8 Probably the biggest one that really area. 9 permeates so much of so many of the others is the 10 whole issue of how we deal with genomic uncertainty 11 and how we convey to research participants and 12 patients and, in fact, to the public that the 13 information that we're generating is still very 14 uncertain? And how do we help them make sense of 15 the risk estimates that we're providing? A lot of 16 complicated ethical challenges there.

Another area emerging is direct-toconsumer testing. What are physicians to do when someone shows up in the office with a printout from 23 andMe showing their risk status for 63 different conditions? What are they supposed to do with that in the 20 minutes that they have available for the

1 appointment?

2 How do we ensure fair access to these 3 technologies? This is sort of analogous to the 4 issue about ensuring fair access to participation in 5 research. How do we regulate diagnostics, 6 therapeutics? And how do we ensure that the 7 information that we're generating is actually going 8 to lead to some kind of behavioral change? For example, someone learns that they're 9 10 at increased genomic risk for hypertension or heart 11 disease. Are there strategies that we could use to 12 make sure that people understand that information in 13 a way that tells them I've got to eat better and 14 exercise more rather than using it as an excuse 15 that, well, I'm going to get sick anyway. I might 16 as well go have a hamburger. This is a big 17 challenge. 18 A lot of issues related to pre-19 implantation, prenatal, and post natal genetic 20 diagnoses. Obviously, this is where newborn 21 screening comes in. But there are obviously new 22 technologies on the horizon. Recently, there has

been discussion about noninvasive prenatal whole
 genome sequencing.

And one can imagine -- this is from maternal blood. One can imagine if that actually becomes something as widely available, the slew of ethical issues that that could raise about how people are going to use those technologies and the potential for attempts at genetic enhancement and those sorts of things.

10 And once again, constructs of race and 11 ethnicity and how conceptions of racial differences 12 will play into genomic healthcare. Another big and 13 largely unexplored area that we're very interested 14 in supporting more research on.

In the legal and public policy area, again, I've just picked out a couple of issues, but there are a large number of these. Intellectual property issues, gene patenting.

A lot of you are familiar with the Myriad Genetics case that now is sort of bouncing around in the courts, and it's unclear how that will finally be resolved. But even when it is resolved, there

are always going to be new intellectual property
 challenges in this area.

3 Issues about access and insurance
4 reimbursement will continue to come up. Regulation
5 of genetic testing, including direct-to-consumer
6 testing. The new pharmacogenomics and therapeutics
7 will be issues.

8 Genetic discrimination and stigmatization 9 issues, which one many people had hoped would have 10 been resolved back I think in 2008 when we saw the 11 passage of the Genetic Information Nondiscrimination 12 Act.

13 It turns out that most people either never 14 have heard of the law, or we now have research that 15 shows that even if they know about it that they 16 don't really have much confidence that it's going to 17 have much effect. So what does that mean? And 18 where are these issues still live? 19 Related to this is the many potential

20 applications, some of which we're already seeing, of 21 genetic and genomic information in nonmedical 22 settings, such as obviously insurance and

1 employment, but even in areas like education, 2 sports, and obviously many forensic uses. Ancestry, 3 DNA ancestry testing is becoming a big issue now, 4 and this raises a lot of issues about how this 5 information is going to be understood and used. 6 We also have always had an interest in 7 supporting research on what we call sort of broader 8 societal issues, and a lot of this is research that 9 some people kind of see it as being frivolous. But 10 it's actually really important in some ways. 11 This is largely research on issues that 12 are somewhat philosophical, but actually are the big 13 questions like how does research and our changing 14 conception of genomic information alter potentially 15 our concepts of health and disease or what it means 16 to be normal? Concepts of individual and group 17 identity. Insights on human origins and evolution. 18 And then another big area, genetic 19 determinism, free will, and individual 20 responsibility. This is the area of behavioral 21 genomics that has always been with us, but as this 22 research continues I think will pose a lot of

> Alderson Reporting Company 1-800-FOR-DEPO

questions for how we understand sort of free will.
 So we support research on these kinds of questions
 as well.

4 Just to talk very briefly, a lot of our 5 research is highly interdisciplinarian, or at least 6 multidisciplinarian. This slide, which I won't go 7 over in detail, but shows kind of the range of 8 disciplines of the various researchers that we supported over the years come from, and you can see 9 10 that we support a lot of people in the social 11 sciences and in bioethics and in fields like, 12 obviously, legal analysis, public health, health 13 services research, and a number of these fields. 14 And using really a whole range of 15 methodologies, some of them sort of standard 16 empirical research. You know, doing either 17 experimental designs or surveys. Some of it more qualitative. Focus groups, interviews. And then, 18 19 historical research using archival methods, 20 ethnographic methods with some of our anthropology studies. So it's really a wide range of methods 21 that's really produced a pretty rich set of 22

1 scholarship.

2 And this slide just shows, just based on a 3 kind of study of our grants over roughly the last 5-4 year period, that almost half of the studies that 5 we've supported actually involve the use of multiple methods, although some are single method, either 6 7 quantitative or qualitative or a small number of 8 purely legal studies or what we call conceptual 9 studies. These tend to be studies by philosophers 10 and so forth on more -- some of those broader sort 11 of philosophical issues.

Just a slide showing our Web site, where you can actually access a nice sort of searchable database that shows not only all the studies that we funded over the years, but will provide information with the citations to the major publications that have come out of each one. And this is searchable by the investigator or by topic.

So, for example, if you were interested in finding just what we've supported in the area of newborn screening or, say, more generally, in pediatric issues, you could put in those kinds of

1 search terms, and the stuff would come up.

Just very quickly, we use a range of funding mechanisms. I've listed the most common ones here. We support a lot of regular NIH RO1 regular research grants, but also smaller grants, RO3s and R21s, for those of you who are familiar with the NIH nomenclature.

8 We occasionally support conferences that 9 are looking at these kinds of issues, and we support 10 training grants like postdoctoral training grants 11 and career development awards. And then we also 12 have administrative supplements that we make 13 available sometimes when people come into unexpected 14 problems in their research and need additional funds 15 or supplements to bring in new minority researchers.

Because one of the things that we're really actively trying to do is to increase the participation by people from underrepresented minorities in doing this kind of research because we think it's really important to make sure those voices are represented in the scholarship because they're such an important part of the research.

> Alderson Reporting Company 1-800-FOR-DEPO

1 We tend to use sort of a mix of approaches 2 to funding. Most of our grants have tended in the 3 past at least to be investigator initiated. But we 4 do occasionally issue a request for applications to 5 address particular important emerging issues.

6 Some of the ones that we've done in the 7 past relate to carrier screening for cystic 8 fibrosis. We did a large consortium back in the 9 '90s on cancer genetics testing, which was actually 10 pretty influential in influencing the way we think 11 about a lot of the ethical issues related to testing 12 for other kinds of complex diseases.

We did a consortium focused on ethical issues in genetics variation research and related to issues around race and ethnicity. And currently, we have a very active consortium going looking at these very complicated issues about returning results and incidental findings.

19 So what we do when we issue these RFAs
20 typically is we'll put the researchers together in a
21 consortium where they can meet together to talk
22 about what they're doing and share their instruments

Alderson Reporting Company 1-800-FOR-DEPO

1 and measures and try to see if they can develop --2 ideally to come to consensus and develop joint 3 papers. That's worked better in some of these 4 consortia than others, depending on the nature of 5 the topic.

6 We also occasionally fund RFAs sort of in 7 parallel with other large genomic projects that are 8 going on either at NHGRI or across NIH. Two recent 9 examples where we put out an RFA related to the 10 ethical issues raised by human microbiome research 11 because some of you are aware there's a large common 12 fund project at the NIH called the Human Microbiome 13 Project that's looking at the role of the human 14 microbiome in health and disease. And so, looking 15 at the various ethical issues that's raising.

And then a new one out just recently 17 looking at or in connection with our H3Africa 18 Program, which is really focused on genomic research 19 in the African subcontinent and really looking at or 20 trying to get African researchers directly involved 21 in studying these issues.

22 We also -- more recently, an important

approach has been to actually incorporate or require 1 2 that researchers incorporate investigation of these 3 ethical issues in larger genomic projects like right 4 now we have a consortium called the eMERGE 5 Consortium that's looking at incorporating genomic information in electronic medical records. And also 6 7 a clinical sequencing exploratory research 8 consortium that, again, is looking at incorporating 9 genomics into clinical care.

10 And so, what we've done in these cases is 11 to actually put an ELSI component right into those 12 RFAs so that anyone who wants to apply for one of 13 those RFAs has to have people on their project team 14 who have this expertise in looking at the ethical 15 issues and actually propose research on those 16 issues. And when these projects are reviewed, we 17 look at the ethics component as being equally 18 important as the more basic science component.

So that if a project does just well on the basic science, but kind of ho-hum on the ELSI part, it doesn't get funded. And so, we're probably going to be doing more of those in the future.

1 I wanted to say a few words about our 2 Centers of Excellence in ELSI Research program. 3 This program was launched in 2004 with three goals. We sometimes call them the three Ts because it's we 4 5 want to foster transdisciplinary research, 6 facilitate the translation of the research into 7 specific health research and public policies, and we 8 want to focus on training the next generation of 9 researchers in this area.

10 And so far over the years, I think we've 11 trained -- or I should say the centers have trained 12 over 80 younger researchers, of whom I believe more 13 than 30 have been members of underrepresented 14 minority groups. We currently support six of these, 15 or six full centers, plus two exploratory centers 16 that are preparing to perhaps potentially put in 17 applications to become full centers in the future. 18 These centers are at, if I can list them 19 all, there's Stanford, Case Western, UNC, University 20 of Washington, Penn, and I'm blanking out the sixth 21 one. Sorry? Oh, I thought you were going to help 22 me out.

In any case, they're on our Web site. We have two others. One at Columbia and one at Oregon Health Sciences University. Each of these is focused on sort of a different specific topic or set of topics.

6 For example, one of the centers is looking 7 at ethical issues in epigenomics and epigenetics 8 research. Another one is focused specifically on 9 intellectual property issues. Another one is 10 looking at issues in behavioral genetics.

11 So these are really very focused centers 12 that are, again, really trying to bring together 13 people from this whole range of disciplines to have 14 them really look at these issues comprehensively and 15 then actually try to go out and help to translate 16 some of those findings into policy or practice by 17 going out and actively being on advisory groups, 18 providing expert testimony.

In some cases, they've written amicus briefs to courts on particular issues and those kinds of things. So it's really a kind of translating the research to policy that we're trying

1 to push through these centers.

2 Just a few things I'll say about some of 3 the tensions that we have in our program and 4 tensions in funding this kind of research. I think 5 there is always a tension between how much we want to focus on or encourage people doing very sort of 6 7 basic, fundamental ethics research and how much we 8 want to focus on more policy-focused research or 9 research that's really focused on sort of 10 translation.

11 There is sometimes a tension between those 12 things, and related to that is a tension between how 13 much we should be sort of top-down trying to 14 initiate versus how much of this should be 15 investigator initiated.

I think a related tension is sometimes our researchers, because they are -- they really do become the experts in the field, are called in by genomics researchers to provide consultation in connection with the projects that are going on at their institutions. Obviously, a good thing, but sometimes not only does that sort of detract from

what they're doing in the research, but it occasionally leads to a situation where sometimes people feel that they're almost at risk of losing a bit of their objectivity and independence and potentially even becoming what some people think of as being handmaidens to the research.

7 So there's always a little bit of a 8 tension with that. But it's one that I think so far 9 most of our investigators have managed to navigate 10 pretty well.

11 So just sort of looking into the future, I 12 think what we're going to need to do is sort of re-13 envision some of these tensions I've mentioned as 14 really opportunities. Really think about how 15 creatively can we get this kind of ethics and law 16 and policy research to really influence the research 17 without becoming sort of beholden to it.

18 Another big challenge for us, obviously, 19 is going to be figuring out how we're going to 20 address setting of priorities in this very uncertain 21 funding climate. As you know, we're facing 22 potential pretty serious cuts over the next couple

of years. so we're going to have to do some heavy
 duty prioritization.

At the same time, we want to sort of expand the research and better integrate it. But obviously, all that costs money. So I think priority setting is going to be a big challenge for us in the future.

8 We actually are just now in the process of 9 setting up a working group that's going to help us 10 to advise us on some of these priorities because, 11 obviously, we're not able to do everything and do it 12 well, or at least not as well as we have in the past 13 without greatly increased funding because our 14 mandate is just becoming broader and broader as we 15 move to the right of that diagram that I showed you 16 earlier.

So I think that's really all that I have,but if people have questions, I'm happy to takethem.

20 CHAIRMAN BOCCHINI: Thank you, Dr. McEwen,21 for that very good presentation.

22 It's open for questions and comments.

1 Dr. Botkin?

21

2 DR. BOTKIN: Jean, I wondered if you might 3 comment on how some of the other NIH agencies have 4 collaborated with the ELSI program over the years? I mean, it was borne out of the Genome Institute, 5 6 but some of the other institutes have been 7 collaborating over time with some of the research 8 initiatives. 9 DR. MCEWEN: Yes. We have had 10 collaborations, and we have a number of other NIH 11 institutes have signed onto our sort of standing 12 program announcements that we have that are sort of 13 standing announcement that we have that are not 14 specific calls for applications, but that just are 15 sort of out there for people to come in at any time 16 with applications. 17 I can't remember exactly how many 18 institutes are signed on currently, but it's a good 19 number. Probably at least eight or nine, one of 20 which is Child Health. And I should say that with

22 a number of joint initiatives in the past, including

Alderson Reporting Company 1-800-FOR-DEPO

Child Health in particular, we've actually had quite

1 -- and I don't want to get into this in any kind of 2 detail, but I think most of you are aware of it --3 that currently we have an RFA out on specifically 4 looking at newborn screening.

5 Actually, it's a more general RFA, but 6 it's one of those ones where, as I talked about, 7 embedding the ELSI research in the genomic research, 8 and this is one of those where there actually is an 9 ELSI component. And NHGRI and NICHD are working 10 very closely together on that one.

DR. GUTTMACHER: Maybe just a follow-up to that, since Jeff brought it up and since I used to be the Deputy Director at the Genome Institute and now direct another institute.

15 It has, I think, been -- when I was at the 16 Genome Institute, it was somewhat of a frustration 17 that while other institutes did sign on to certain 18 projects and helped fund certain projects, et 19 cetera, that nobody seemed to be replicating the 20 That is, saying, gee, this is so compelling idea. 21 that we should have a set-aside and/or simply a 22 focused effort at our place to look at ethical,

1 legal, or social, or whatever anybody would want to 2 title it, implications of our own research.

3 And I think you can see pockets over here 4 and there at other NIH institutes and, in fact, in 5 other Federal agencies. But it has not been, for whatever reasons -- and now that I wear another hat, 6 7 I see it from a slightly different perspective, I 8 suppose. But it is not something that has been 9 imitated as widely as I think some of those back at 10 the creation had hoped.

I think it's been very effective, but not so effective at borning itself again, you know? DR. MCEWEN: Yes, I think that's right. And there has been a lot of talk for the last several years about actually trying to implement some kind of a bioethics initiative, sort of trans-NIH large bioethics initiative.

And I think there's a lot of people who are interested in doing that, but I think right now the funds just aren't there. And so, this just always tends to fall kind of unfortunately to the bottom of the list of priorities.

1 But it is unfortunate because it is very 2 clear that our program can no longer be it for all 3 of the other NIH institutes. There are just too 4 many issues that are coming up that are in some ways kind of disease specific, and that really ought to 5 6 be the responsibility of the other institutes. We 7 cannot do it all, as we've tried to in the past. 8 DR. PARISI: This is Melissa Parisi, and I 9 just wanted to echo what Dr. McEwen said and Dr. 10 Guttmacher and make sure that this audience is 11 informed about this current RFA that is on the 12 streets and is a partnership between the National 13 Institute of Child Health and Human Development and 14 the National Human Genome Research Institute. 15 And it is entitled Genomic Sequencing in 16 Newborn Screening Disorders, and the two institutes 17 have worked very hard to make sure that there are 18 three components that are included within this RFA, 19 and any successful applicant needs to include not 20 only the genomic approaches and careful consideration of that, but also the clinical 21 implications of this sort of genomic approaches to 22

> Alderson Reporting Company 1-800-FOR-DEPO

1 newborn conditions as well as an ELSI component. 2 So we see this as an important potential 3 addition to the dialogue around these ELSI-related 4 issues and genomics and newborn screening. 5 CHAIRMAN BOCCHINI: Thank you. 6 If there's no questions, comments? 7 DR. HOMER: Again, this may be another 8 cart before the horse question if there is an RFP out on the street. But I guess delving 9 10 substantively, are there findings or recommendations 11 from the research that have been done that should be 12 incorporated, for example, into something like the 13 matrix that we just reviewed this morning? 14 In other words, should there be 15 checklists? Should there be ethic -- I mean, how 16 should we incorporate the recommendations from the 17 research that's been done to date in our decision-18 making about whether and when to introduce a new 19 screening test onto the list of required screening 20 procedures? 21

What are the ethical issues in delayingconsideration for an evidence review? So, anyhow,

Alderson Reporting Company 1-800-FOR-DEPO

1 again you described the program and what it covers.
2 But I'm just wondering, substantively, are there -3 is there sufficient clarity from the previous
4 research to date that would inform our ability to
5 make decisions or --

6 DR. MCEWEN: You know, I think we actually 7 have supported a fair amount of research on these 8 kinds of issues in newborn screening. But it 9 certainly is not -- we don't have the answers, by 10 any means.

11 What I would suggest that you do is take a 12 look at our Web site, and you can access most of the 13 papers that have been published on this. And they 14 certainly do speak to a lot of these issues. But I 15 think certainly Jeff knows more than anyone that 16 this remains an area where we don't have the data 17 that will help us to answer all these questions.

18 And some of these questions aren't purely 19 data driven. Some of them incorporate normative 20 considerations that are the kinds of things about 21 which there is never going to be complete consensus. 22 CHAIRMAN BOCCHINI: And then, in addition

1 to that, I think the presentation that we are 2 planning for January is going to focus more 3 specifically on newborn screening, ethical issues 4 surrounding newborn screening. And so, I think 5 we're going to address these in a more specific 6 manner. 7 But I think your point is really an 8 excellent one that the data that's available needs 9 to be incorporated into the decision matrix process. 10 I think that's a very important point. 11 All right. Other questions or comments? 12 If not, thank you again for a very excellent 13 presentation. 14 DR. MCEWEN: Thank you. 15 (Applause.) 16 CHAIRMAN BOCCHINI: So we are about 15 17 minutes early. So I think that the plan ought to be that we take a 15-minute break. But instead of 18 19 starting the subcommittee meetings at 2:45 p.m., we 20 can actually start them at 2:30 p.m. So we'll go 21 ahead and do that, and then you have a couple of 22 housekeeping things?

1 DR. COPELAND: Yes, a couple of 2 housekeeping things. You have to be done with your 3 subcommittee by 5:00 p.m. because we have to pick up 4 after and reset the rooms. So people need to be 5 cleared out by 5:00 p.m. 6 I'm speaking to the Follow-Up and 7 Treatment Subcommittee. 8 (Laughter.) 9 DR. COPELAND: Yes. Yes. Just so you 10 know. 11 And just good general politeness, manners. 12 Clean out your garbage with you on your way out of 13 the room, please. 14 CHAIRMAN BOCCHINI: Okay. All right. So 15 a couple of other things. Just to remind you of the 16 rooms. 17 Let's just review the rooms. The 18 Laboratory Standards and Procedures Committee --19 Subcommittee will meet in Room 305A. That's on the 20 third floor. Follow-Up and Treatment will remain 21 here. Education and Training will go to Room 425A, 22 which is on the fourth floor.

1 Now each of the groups needs to be 2 escorted to the room so that people will meet you at 3 the doorway to bring you to those various places. 4 Lastly, for the committee, there is no 5 formal dinner set up for this meeting because a 6 number of the people from the committee are 7 committed to an event tonight, which is honoring Dr. 8 Howell. But those of you, if any of you would like 9 to meet, if you'll meet with Maureen out front, we 10 can get a group together, if you'd like to go out to 11 dinner together. 12 All right. Then we'll see you bright and 13 early tomorrow morning. 14 Thank you all very much. 15 (Whereupon, at 2:15 p.m., the meeting was 16 adjourned.) 17 18